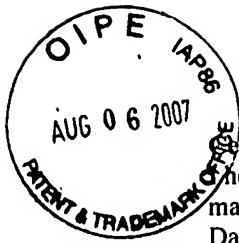


PCT



Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: Dated: 2 August 07 Signed: ABell Printed: Adam Bell.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Phase Application of: Raymond Rodriguez and Mark Magbanua

Title: The Use of Lunasin Peptide as a Transcriptional Activator to Prevent Cancer and Related Methods for Treatment, Monitoring and Prognosis

Serial No.: 10/591,097
Filing Date: 15 Feb 2005
Examiner: Not yet assigned
Group Art Unit: Not yet assigned
International application number: PCT/US2005/004868
International Filing Date: 15th February 2005
Priority Data: 1st March 2004 (60/549,487)
Publication number: 21st October 2004 (60/621,217)
WO 2005/091823
DOCKET No. UCD-2004-404-3
Customer No. 039843

Commissioner for Patents
P.O. Box 1450
Alexandria, VIRGINIA
22313-1450 USA

Please find enclosed:

- 1) Copy of Notification of Missing Requirements
- 2) Executed declarations x 2
- 3) Information Disclosure Statement

The Commissioner is hereby authorized to charge any fees associated with this application or credit any overpayment, now or in the future, to Bell & Associates USPTO Deposit Account No. 503,194.

(AB) 2 AUG 2007

These papers are submitted in response to a Notification of Missing Requirements mailed 5/4/2007. Applicant hereby petitions for a 1 month extension of time.

Applicant submits \$450 in fees due by deposit account authorization:

- 1) \$325 for 13 claims over 20
- 2) \$65 for declaration under 37 CFR 1.492(h).
- 3) \$60 fee under 37 CFR 1.17(a)(1)

08/08/2007 MKAYPAGH 00000072 503194 10591097

03 FC:2251

60.00 DA

Applicant claims small entity status.

Please do not hesitate to contact the undersigned for all matters related to this application.

Respectfully submitted,

Adam Warwick Bell, D. Phil. Reg. No. 43,490

A handwritten signature in black ink, enclosed in an oval. The letters 'AB' are prominent at the top, followed by 'Bell' written below and to the right.

Bell & Associates (Customer No. 039843)
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San Francisco CA 94118
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UNITED STATES PATENT AND TRADEMARK OFFICE

Docketed ✓
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UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/591,097	Raymond Rodriguez	UCD-2004-404-WO-US
		INTERNATIONAL APPLICATION NO.
		PCT/US05/04868
		I.A. FILING DATE
		02/15/2005
		PRIORITY DATE
		03/01/2004

39843
 BELL & ASSOCIATES
 416 FUNSTON ST., SUITE 100
 SAN FRANCISCO, CA 94118

Due 5 July 2007

CONFIRMATION NO. 6205

371 FORMALITIES LETTER



OC000000023690528

Date Mailed: 05/04/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated/Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 08/29/2006
- Preliminary Amendments filed on 08/29/2006
- Oath or Declaration filed on 08/29/2006
- Request for Immediate Examination filed on 08/29/2006
- U.S. Basic National Fees filed on 08/29/2006
- Priority Documents filed on 08/29/2006
- Specification filed on 08/29/2006
- Claims filed on 08/29/2006
- Abstracts filed on 08/29/2006
- Drawings filed on 08/29/2006

COPY

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ✓ • Additional claim fees of \$325 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- ✓ • Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$390** for a Small Entity:

- **\$65** Surcharge.
- ✓ • Total additional claim fee(s) for this application is **\$ 325**
 - \$325 for 13 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

08/08/2007 MKAYPAGH 00000072 503194 10591097

01 FC:2615 325.00 DA
02 FC:2617 65.00 DA

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/591,097	PCT/US05/04868	UCD-2004-404-WO-US

FORM PCT/DO/EO/905 (371 Formalities Notice)